

Customer No. 22,852 Attorney Docket No.: 04853.0079

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Kazunari TAIRA et al.	) Group Art Unit: 1645
Serial No.: 09/974,974	) Examiner: Not Yet Assigned
Filed: October 12, 2001	)
For: NUCLEIC ACID ENZYMES ACQUIRING AN ACTIVITY FOR CLEAVING A TARGET RNA BY RECOGNIZING ANOTHER MOLECULE	) ) ) )

Assistant Commissioner for Patents Washington, DC 20231

Attention:

**BOX MISSING PARTS** 

Sir:

# RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts dated November 15, 2001, enclosed is the Declaration, a copy of the "Sequence Listing" in computer readable form (1 diskette), a copy of the written sequence listing (8 pages), a Statement Under 37 C.F.R. § 1.821, that computer readable form of the sequence listing is identical to the paper copy, and a copy of the Notice To File Missing Parts.

Also enclosed is a check in the amount of \$130.00 to cover the surcharge fee. Authorization is hereby given to charge any additional fees to our deposit account no. 06-0916.

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

1300 L Street, NW Washington, DC 20005 202,408,4000 Fax 202,408,4400 www.finnegan.com

Customer No. 22,852 Attorney Docket No. 04853.0079

## Please associate this Declaration with the application as filed on

October 12, 2001 and assigned serial number 09/974,974.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: March 14, 2002

Ernest F. Chapman Reg. No. 25,961

Enclosures EFC/FPD/sci

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**Assistant Commissioner for Patents** Washington, DC 20231

Sir:

### **STATEMENT**

The information recorded in computer readable form (attached diskette) is identical to the written sequence listing.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: March 14, 2002

EFC/FPD/sci

Ernest F. Chapman

Reg. No. 25,961

FINNEGAN HENDERSON F A R A B O WGARRETT&

**Enclosures** DUNNER LLP

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/974,974

10/12/2001

Kazunari Taira

04853.0079

**CONFIRMATION NO. 2692** 

FORMALITIES LETTER

\*OC000000007078806\*

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 1300 I Street, N.W. Washington, DC 20005-3315



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Date Mailed: 11/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:



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A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

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